GUIDELINES FOR IAI PROJECTS
FOR ASEAN DIALOGUE PARTNERS AND EXTERNAL PARTIES

Introduction

1. With the aim of helping narrow the development gaps among the ASEAN Member States and realising ASEAN full integration by 2015, the IAI Work Plan is the main instrument to promote and pursue equitable economic development and strengthen cooperation in ASEAN. The Work Plan is also the reference document for establishing development cooperation and assistance with ASEAN-6, ASEAN Dialogue Partners and External Parties.

2. It is important to note that in the few years remaining until 2015, there is a need to expedite and ensure the effective implementation of the remaining Actions in the IAI Work Plan II (2009 – 2015), specifically initiatives in the CLMV Priority Action List of the IAI Work Plan II.

3. New projects shall need to take into account the level of development and absorptive capacity of the CLMV countries.

4. The purpose of the Guidelines for IAI Projects for ASEAN Dialogue Partners and External Parties is to provide information to project proponents regarding the identification, development and approval of projects related to the IAI Work Plan.

Implementation Procedure

I. Qualification Criteria

5. A project/programme/activity developed by Dialogue Partners and External Parties as an IAI Project shall meet the following criteria:

   a. Align with the ASEAN Community Blueprints (2009-2015);
   b. Support the implementation of the existing IAI Work Plan and relevant ASEAN Sectoral Work Plan; and
   c. Benefit all four or at least two CLMV countries as the main beneficiaries.

6. The project contributes to capacity-building and human resource development, alleviation of poverty as well as technical assistance and provision of hardware and equipment.

7. The qualified IAI projects shall obtain agreement of the respective CLMV countries, which are the targeted beneficiaries, and endorsement from the IAI Task Force by ad-referendum or at every IAI Task Force Meeting.
8. Alternative and innovative resource mobilisation of varying levels and approaches to implementation, such as tripartite/triangular cooperation\(^1\), can be considered for approval as an IAI project to address the different needs of each CLMV country, provided it meets other qualification criteria outlined in this document.

9. ASEAN-wide projects can be considered as IAI projects if they are designed to meet the needs and requirements of the CLMV countries as outlined in the IAI Work Plan and extra resources are allocated to benefit the CLMV countries.

II. Roles of the ASEAN Secretariat

10. The IAI&NDG Division shall assist in conceptualizing, developing and implementing IAI projects in coordination with the relevant desk officers and focal points of the ASEAN Member States, Dialogue Partners and External Parties.

11. Status updates on project implementation, resource mobilization, and overall coordination among the various sectoral bodies shall be reported to the IAI Task Force as part of the Report on the Implementation of the IAI Work Plan.

III. Monitoring and Evaluation

12. During the implementation and upon completion of the project, effective monitoring and evaluation should be encouraged to ensure that the objectives of narrowing development gap are met and benefits for CLMV countries are also sustained at the national level.

IV. Review and Amendment

13. The IAI Task Force may review and amend the guidelines as appropriate and necessary.

\(^1\) Cooperation involving three parties: a) CLMV Countries, b) ASEAN-6 country(ies), c) Dialogue Partner(s)/External Party(ies).